



TFW 2813

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application No.	10/521,636	
	Filing Date	January 19, 2006	
	First Named Inventor	Yoshihide SENZAKI	
	Examiner Name	NGUYEN, TUAN H.	
	Group Art Unit	2813	
Total Number of Pages in This Submission	4	Attorney Docket No.	A-71644/MSS (463035-877)

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1)
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	2)
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, No. of CD(s) _____	3)
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Maria S. Swiatek, Esq., Reg. No. 37,244 Dorsey & Whitney LLP 555 California Street, Suite 1000 San Francisco, CA 94104-1513 Telephone No.: (650) 857-1717 Facsimile No.: (650) 857-1288	Customer Number 32940
Signature		
Date	October 6, 2006	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with Sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:		
Typed or printed name	Laura Lee Mosier	
Signature		Date
		October 6, 2006



A-71644/MSS
463035-877

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 6, 2006.

Signed:


Laura Lee Mosier

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yoshihide SENZAKI, et al.

Serial No.: 10/521,636

Filing Date: January 19, 2006

For: IN-SITU FORMATION OF
METAL INSULATOR METAL
CAPACITORS

Art Unit: 2813

Examiner: NGUYEN, TUAN H.

Confirmation No.: 2634

Date: October 6, 2006

INFORMATION DISCLOSURE STATEMENT
PRIOR TO FIRST OFFICIAL ACTION

Mail Stop Amendments
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants submit herewith patents and publications which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. §1.56. While this Statement may be “material” pursuant to 37 C.F.R. §1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is “prior art” for this invention unless specifically designated as such.

In satisfaction of the duty of disclosure under 37 C.F.R. § 1.56, and in accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, Applicants wish to draw the attention of the U.S. Patent and Trademark Office to the references cited on the accompanying Form PTO/SB/8A. In

accordance with 1273 Off. Gaz. Pat. Off. 1, 8/5/2003, no copies of U.S. patents and U.S. published applications are enclosed. Copies of all other references are enclosed.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and MPEP § 609 and consideration of the foregoing plus the prompt return of a copy of the enclosed Forms PTO/SB/8A with the Examiner's initials in the left column in accordance with MPEP 609 are respectfully requested.

This information disclosure statement is being filed in compliance with 37 CFR 1.97(b) as being filed before the mailing date of the first office action on the merits and therefore no fee is required.

Should any questions or comments arise regarding the listing of the submitted publications and patents in which the Examiner feels a telephone conversation would be appropriate, he or she is respectfully requested to contact the undersigned attorney at the listed telephone number.

Respectfully submitted,

DORSEY & WHITNEY LLP



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PTO/SB/8A

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	10/521,636
				Filing Date	January 19, 2006
				First Named Inventor	Yoshihide SENZAKI
				Group Art Unit	2813
				Examiner Name	NGUYEN, TUAN H
Sheet	1	of	1	Attorney Docket Number	A-71644/MSS (463035-877)

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		6,573,150		URDAHL, et al.	06-03-2003	
		20020074584		YANG	06-20-2002	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ² (if known)				
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